



Alka Securities Limited

Annual Report 2014 - 2015

Notice

TO THE SHAREHOLDERS

Notice is hereby given that the 18th Annual General Meeting of the Members of the Company will be held at 10 Maitri, plot No.10 Road No. 10 Nutan Laxmi Society JVPD Scheme Vile Parle (w) Mumbai

– 400 049 on Wednesday, 30th September, 2015 at 11.00 A.M. to transact the following business:

Ordinary Business:

1. To receive, consider and adopt the Audited Balance Sheet and the Profit & Loss Account for the year ended 31st March 2015 and the Directors' Report and Auditors' Reports thereon.
2. To confirm the reappointments of the Director: Mr. Mahendra Pandey.
3. To vote on the proposed name change of the Company.
4. To appoint M/s PSV Jain & Associate, for the year 2015-16 Chartered Accountants, Mumbai, as Auditors of the company to hold office from the conclusion of this meeting till the conclusion of next Annual General Meeting and to fix their remuneration.

For and on Behalf of the Board of Directors

Place: Mumbai

Mahendra Pandey
Director

Dated: 30th August 2015

NOTES: -

A MEMBER ENTITLED TO ATTEND AND VOTE AT THE MEETING IS ENTITLED TO

APPOINT Registered Office:

10, Maitri, N.S. Road No. 10

Nutan Laxmi Society, JVPD Scheme

Vile Parle (w) Mumbai – 400049

1. A PROXY TO ATTEND AND TO VOTE ON A POLL INSTEAD OF HIMSELF AND SUCH PROXY NEED NOT BE A MEMBER OF THE COMPANY. THE INSTRUMENT APPOINTING THE PROXY SHALL BE DEPOSITED AT THE REGISTERED OFFICE OF THE COMPANY NOT LATER THAN FORTY – EIGHT HOURS BEFORE THE TIME FIXED FOR HOLDING THE MEETING IN DEFAULT, THE INSTRUMENT OF PROXY SHALL NOT BE TREATED AS VALID.

Alka Securities Limited
(CIN: L65910MH1994PLC165204)
Annual Report 2014-2015

2. The Company had already notified closure of Register of Members and Share Transfer Books of the Company September 27, 2014 to September 30, 2014 (both days inclusive).
3. M/s. Purva Sharegistry India Pvt. Ltd., 9 – Shivshakti Industrial Estate, J. R. Borisa Marg, Sitaram Mill Compound, Lower Parle (E), Mumbai – 400 011 has been appointed as Registrar and Share Transfer Agents (STA) of the company. All communications in respect of share transfer and change in the address of the members may be communicated to them.
4. Members holding shares in the same name under different Ledger Folios are requested to apply for consolidation of such Folios and send the relevant share certificate to the STA/Company.
5. Consequent upon the introduction of Section 109A of the Companies Act, 1956, shareholders are entitled to make nomination in respect of shares held by them in physical form. Shareholders desirous of making nominations are requested to send their requests in Form 2B (which shall be made available on request) to the STA, M/s. Purva Sharegistry India Pvt. Ltd.

Corporate Information

Board of Directors

Mr Mahendra Pandey	Chairperson & Managing Director
Mr. Kaushik S Shah	Executive Director
Mr. Rachana Pandya	Non-Executive Independent Director
Mr Dinesh Kumar Bohra	Non -Executive Independent Director
Mr. Ajay Patil	Non-Executive Independent Director
Mr.Gopal Das Choudhary	Non-Executive Independent Director

Statutory Auditor

M/s PSV Jain & Associate
Chartered Accountant

Registrar & Share Transfer Agent

Purva Shareregistry (India) Pvt.Ltd.
9, Shivshakti Industrial Estate
J.R.Borisa Marg,
Sitaram Mills Compound
Lower Parel (E) Mumbai-400011

Registered Office

10, Maitri, N.S. Road No. 10
Nutan Laxmi Society, JVPD Scheme
Vile Parle (W) Mumbai – 400049

Bankers

Bank of India
IndusInd Bank
HDFC Bank

From The Chairman's Desk

Chairman's Message

Dear Shareholders,

It has been nothing short of an eventful year. We always want to look ahead and push ourselves to reinvent and reinnovate. We took this opportunity to be introspective and realised our core strengths. We do not see these restrictions as a hurdle but an opportunity to explore new markets and new fields. Your company is planning to enter the management consulting and fund syndication space. We believe that with over 50 years of combined management experience in the capital markets and corporate space in India enable us to be competent in this field. Your company will also lay the groundwork for SME and start up growth. We believe that new innovation is necessary and a great driver for growth. We are also looking at acquisitions and mergers in many verticals to strengthen our core and unlock value for you, the shareholder.

Your company has decided to book all the losses of broking business and venture into different business through investments in subsidiaries company.

I assure you that 2015 -16 will be a spectacular year for your company and for us as individuals.

Mahendra Pandey
Chairman
Alka Securities Limited

MANAGEMENT DISCUSSION & ANALYSIS

Overview

We always want to look ahead and push ourselves to reinvent and reinnovate. We took this opportunity to be introspective and realised our core strenghts. We do not see these restrictions as a hurdle but an opportunity to explore new markets and new fields. Your company is planning to enter the management consulting and fund syndication space. We belive that with over 50 years of combined management experience in the capital markets and corporate space in India enable us to be competant in this field.

Performance

The Company's performance during the year ended 31st March 2015 was as under:

	2014- 2015 (in Rs. Lakhs)	2013- 2014 (in Rs. Lakhs)
Sales & Other Income	00.00	(1297.91)
Operating Expenses	58.86	01.55
Employee Cost	01.80	00.75
Administrative Expenses	00.46	00.04
Financial Charges	54.00	50.45
Deprecation	00.00	01.80
PBDIT	(58.86)	(1352.50)
PBIT	(58.86)	(1352.50)

Internal Control & Adequacy

The company has adequate internal control system to ensure that all assets are safeguarded and protected against loss from unauthorized use or disposition.

Risk Management System

We manage our business risk through strict compliance and internal control system.

Risk and Concerns{

Any adverse change in the financial services business or negative policy of Government will affect our new sector adversely.

Outlook

Company is focusing on service based activities for. Your company is planning to enter the management consulting and fund syndication space. We belive that with over 50 years of combined management experience in the capital markets and corporate space in India enable us to be competant in this field Your company has decided to venture into different busiess through inevstments in subsidieries company.

Human Resource

Company has adequate and trained, well experienced personnel. Our employees are highly motivated and work in line of the organizational goal.

Cautionary Statement

The statements made in this report describe the Company's objectives and projections that may be forward looking statements within the meaning of applicable laws and regulations. The actual results might differ materially from those expressed or implied depending on the economic conditions, government policies and other incidental factors, which are beyond the control of the Company.

Directors' Report

To The Shareholders

Your Directors submit their Report and the Audited Accounts of the Company for the year ended 31st March 2015.

Financial Results

Description	2014 – 2015 (in Rs. Lakhs)	2013 – 2014 (in Rs. Lakhs)
Profit before Depreciation and Interest	00.00	(1300.25)
:- Provision for Depreciation	00.00	1.80
Interest	54.00	50.45
(Loss) before Tax	(58.86)	(1352.50)
(Loss) after Tax	(58.86)	(1352.50)
Balance brought forward from previous year	(1116.35)	199.60
(Loss) carried to Balance Sheet	(1175.21)	(1152.90)

Operation Review

Due to ongoing legal issues and income tax case spending against the Company, the Income Tax Department has frozen all accounts, and therefore the company is not able to carry any business in current the financial year.

Dividend

The Board of Directors does not recommend any dividend on Equity shares for the year under consideration.

Fixed Deposit

Company has not accepted any fixed deposit from the public pursuant of section 58-A of the company act 1956.

Subsidiaries

Company has no subsidiaries as on date.

Operations

Company has not carried out any business operation during the current financial year.

Financial

Finance is the major hurdle for your company. To overcome this, company is planning to raise fund through static investor.

Outlook for the Company

Looking the current financial and on going legal issue company is not very hopeful do achieve any major gain but company is hopeful to sort out its legal problems and hopefully start a fresh business in 2015-16.

Listing

The Equity Shares of the Company are listed on the Bombay Stock Exchange (BSE). The Company has not paid the Annual Listing Fees to the Bombay Stock Exchange for the year 2014 - 2015.

Directors

Mr. Mahendra Pandey would retire by rotation at the ensuing Annual General Meeting and is eligible for re-appointment.

Directors' Responsibility Statement

As specifically required under the Companies Act, 1956, your Directors state:

- i. That in the preparation of the annual accounts, the applicable accounting standards have been followed.
- ii. that such accounting policies have been selected which have been applied consistently and judgments and estimates made are reasonable and prudent so as to give a true and fair view of the state of affairs of the Company in the Balance Sheet as at the end of the financial year ended 31st March, 2015 and of the Profit and Loss Account for the said financial year, i.e. April 1, 2013 to March 31, 2015.
- iii. That proper and sufficient care for the maintenance of adequate accounting records in accordance with the provisions of the Companies Act, 1956 for safeguarding the assets of the Company and for preventing and detecting fraud and other irregularities have been taken. That the Annual Accounts have been prepared on a going concern basis.

Auditors

M/s PSV Jain & Associates Chartered Accountant Mumbai retired at the forthcoming annual general meeting and is eligible for reappointment. Your directors propose the appointment of PSV Jain & Associate Chartered Accountant to hold the office until the conclusion of the next Annual General meeting.

Conversation of Energy Technology Absorption, Foreign Exchange Earnings & Out Go

Company takes adequate steps to avoid wasteful consumption and conservation of energy. The company does not require any technology for its existing business. There were no earning and outgo in foreign exchange.

Corporate Governance

A Report on Corporate Governance in compliance with the Bombay Stock Exchange Listing Agreement is attached and forms part of this Report.

Particulars of Employees

The Company does not have any employee whose particulars are required to be given pursuant to the provisions of section 217 (2A) of the Companies Act, 1956 read with the Companies (Particulars of Employees) Rules, 1975. There is no employee whose details to be reported under this section.

Employee Stock Option Scheme

During the last years company has introduce ESOP Scheme for the allotting warrants to the employees. Under the scheme company has reserved 25lac equity shares to be issued to the employees under the scheme. During the year under consideration no option were granted.

Acknowledgements

The Directors wish to place on record their deep appreciation for the services rendered by the officers, staff and workers at all level, and their dedication and loyalty.

FOR AND ON BEHALF OF THE BOARD

Place: Mumbai

Mahendra Pandey

Date: 30th August 2015

Annexure to Directors' Report

Disclosure of particulars with respect to conservation of Energy, technical absorption, Foreign exchange earnings and outgo as required under Companies (Disclosure of Particulars in the report of Board of Directors) Rule, 1988 and forming part of the Report of Board of Directors for the year ended 31st March, 2014.

A. Conservation Of Energy :

(a) Energy Conservation Measures Taken: All possible efforts were made during the period, to ensure reduction in consumption of Electricity & fuel.

(b) Additional Investment in Proposal if any, being implemented for reduction of consumption of energy: No additional investment is envisaged.

(c) Impact of above measures:

As a result of measures taken by the Company, consumption of electricity and fuel has been kept at reasonable level.

B. Technology Absorption :

The company has not adopted any foreign technology for its products.

C. Foreign Exchange Earning And Outgo :

There were no foreign exchange inflows or outflows during the year.

Report On Corporate Governance

Corporate Governance Philosophy

The Company is committed to good Corporate Governance. The Company has been following good principle of business over the years by following all laws and regulations of the land with an emphasis on accountability and integrity. The code of Corporate Governance emphasizes the transparency of system to enhance the benefits to shareholders, customers, creditors and employees of the company.

Your company has complied with the requirements of the Corporate Governance Code as disclosed herein below:

Board of Directors

The board of the Company comprises the following Directors, having wide range of skills and experience in the field of management and industrial activities.

Name of the Director	Category of Directorship	No. of Meetings held during the Year 2013 – 14	No. of Meetings attended	No. of other Committee Membership	No. of other Directorship	No of Attendance at last Directorship
Mr. Mahendra Pandey	Director Executive	10	10	---	-----	Yes
Mr. Kauhsik Shah	Director Executive	10	10	10	-----	Yes
Mrs. Dinesh Kumar Bohra	Director Non-Executive Independent	10	5	---	-----	Yes
Mr. Gopal Das Choudhary	Director Non-Executive Independent	10	10	6		
Mr. Ajay Patil	Director Non-Executive Independent	10	10	6		

Dates of Board Meeting

Four Board Meetings were held during the year under review on the following dates:
16th June 2014, 14th July 2014, 17th October 2014, 16th January 2015.

Committees of Directors

A) Audit Committee

The terms of reference and role are as prescribed by Clause 49 of the Listing Agreement with the Stock Exchanges and Section 292A of the Companies Act, 1956 and by the Board from time to time.

During the year 2014 – 15 the committee met 5 times. The composition of the Audit Committee and the attendance of the members is as under:

Name of the Director	Designation	Meetings attended
Mr. Mahendra Pandey	Chairman	5
Mr. Gopal das choudhary	Member	5
Mr. Dineskumar Bohra	Member	5

b) Remuneration Committee

The Company has constituted a Remuneration Committee consisting the following members:

Name of the Director	Nature of Directorship	Membership
Mr. Mahendra Pandey	Chairman	
Mr. Gopal das choudhary	Non – Executive & Independent Member	
Mr Dinesh kuma Bohra	Non – Executive & Independent	Member

During the year under review no remuneration paid to Directors. During the year no sitting fees was paid to the Directors. One meeting held during the year under review.

C) Investors Relations Committee

The composition of the Investors Relations Committee (Shareholders/Investors Grievances Committee) is as under:

Name of the Director	Nature of Directorship	Membership
Mr. Dinesh Kumar Bohra	Non – Executive & Independent	Chairman
Mr. Mahendra pandey	Non – Executive & Independent	Member
Mr. Ajay Patil	Executive Director	Member

In accordance with Clause 49(VI) (D) of the Listing Agreement with The Bombay Stock Exchanges, the Board has authorized Mr. Pandurang Chorge to approve share transfers/transmissions and comply with other formalities in relation thereto.

No Investor Complaints remain unresolved, which were received during the year under review.

There were no pending transfers as on 31st March 2015

D) General Body Meetings

a) The details of last 3 Annual General Meeting (AGM) held areas under:

Financial Year Ended	Date	Time	Place
31 st March 2012	30/09/2012	11:00 A.M	10 Maitri, N.S. Road No. 10, Nutan Laxmi Society JVPD Scheme, Vile Parle (W) Mumbai – 400049
31 st March 2013	29/09/2013	11:00 A.M	10 Maitri, N.S. Road No. 10, Nutan Laxmi Society

Alka Securities Limited
(CIN: L65910MH1994PLC165204)
Annual Report 2014-2015

			JVPD Scheme, Vile Parle (W) Mumbai – 400049
31 st March 2014	30/09/2014	11:00 A.M	10 Maitri, N.S. Road No. 10, Nutan Laxmi Society JVPD Scheme, Vile Parle (W) Mumbai – 400049

b) All special resolutions moved at the last Annual General Meeting were passed unanimously on show of hand by the shareholders present at the meeting.

c) None of the business required to be transacted at the forthcoming Annual General Meeting is proposed to be passed by postal ballot.

E) Disclosures

a) Disclosures on Materially Significant related Party Transactions

There were no materially significant related party transactions during the year conflicting with the interest of the Company.

b) Details of Non – Compliances and Penalties

There were penalties of Rs 10 lac levied by the SEBI or any Statutory Authority.

F) Means Of Communication

1. Quarterly and half yearly reports are published in the newspapers.
2. Management Discussion and Analysis forms part of this Annual Report.

G) General Shareholder's Information

1. The Annual General Meeting will be held on 30th day of September 2015 at 11.00 A.M. at Basement, 10, Maitri, North South Road No. 10, JVPD Scheme, Juhu, Vile Parle West, Mumbai 400 049.

2. Financial Year: April to March

3. Book Closure: from September 27, 2014 to September 30, 2015 (both days inclusive).

4. Listing on Stock Exchanges: The Bombay Stock Exchange (BSE)

5. Stock Code:

Bombay Stock Exchange (532 166), the listing fees of The Bombay Stock Exchange (BSE) for the year 2014 – 15 have not been paid.

6. Market Price Data

Market price data for the year 2014 – 15 is given below:

Month	Open Price	High Price	Low Price	Close Price
April 2014	0.21	0.29	0.21	0.28
May 2014	0.29	0.37	0.27	0.36
June 2014	0.37	0.44	0.37	0.38
July 2014	0.37	0.38	0.29	0.29
August 2014	0.28	0.30	0.18	0.19
September 2014	0.20	0.27	0.20	0.23
October 2014	0.22	0.24	0.18	0.20
November 2014	0.20	0.27	0.18	0.26

Alka Securities Limited
(CIN: L65910MH1994PLC165204)
Annual Report 2014-2015

December 2014	0.25	0.30	0.25	0.26
January 2015	0.25	0.25	0.20	0.20
February 2015	0.21	0.21	0.17	0.17
March 2015	0.17	0.18	0.14	0.15

7. Registrar and Transfer Agents:

M/s. Purva Sharegistry India Pvt. Ltd.
9 – Shivshakti Industrial Estate, J. R. Borisa Marg,
Sitaram Mill Compound, Lower Parel (E),
Mumbai – 400 011

8. Share Transfer System:

The shares of the company can be transferred by lodging the Transfer Deeds and Share Certificates with the Registrars & Share Transfer Agents at the above said address.

The Company has constituted Share Transfer and Shareholders' Grievance Committee of the Board of Directors of the Company.

The Company has authorized Purva Sharegistry India Pvt. Ltd through their executives to make endorsement of share transfers being registered.

The shareholders have option of converting their holding in dematerialized form and effecting the transfer in dematerialized mode.

Shares sent for transfer in physical form are registered and returned within a maximum period of 21 days from the date of receipt of documents provided, all documents are valid and complete in all respects.

9. Distribution of Equity Shares as on 31st March, 2015

Shareholding of Nominal Value	No. of Holders	% of Holders	In Rs.	% to Total
Upto 5000	2831	70.46	35,43,746	3.70
5001 – 10000	293	7.54	25,32,335	2.68
10001 – 20000	214	6.65	45,13,252	4.76
20001 – 30000	114	2.79	29,24,710	3.05
30001 - 40000	73	2.01	28,56,139	3.02
40001 – 50000	75	2.11	39,99,928	4.20
50001 – 100000	166	4.05	1,25,43,584	13.15
100000 and above	280	4.39	6,23,22,387	65.44
Total	4046	100.00	95,236,080	100.00

- Particulars of shares held in physical/electronic form as on 31st March 2015
- As on 31st March 2015 Rs. 95,236,080/- of the Company's total paid up capital representing 95236080 shares (99.27% of the total capital) were held in dematerialized form and the balance of Rs. 7,03,920/- representing 703920 shares (0.73% of the total capital) were held in paper form.

Alka Securities Limited
(CIN: L65910MH1994PLC165204)
Annual Report 2014-2015

10. Shareholding Pattern as on 31st March, 2015

Statement Showing Shareholding Pattern								
Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	7	45581679	45581679	47.51	47.51	30476851	66.86
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	7	45581679	45581679	47.51	47.51	30476851	66.86
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00	0	0.00
b	Bodies Corporate	0	0	0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Qualified Foreign Investor	0	0	0	0.00	0.00	0	0.00
e	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and	7	45581679	45581679	47.51	47.51	30476851	66.86
(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	1	1	1	0.00	0.00		
(b)	Financial Institutions/ Banks	0	0	0	0.00	0.00		
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	1	1	1	0.00	0.00		
B 2	Non-institutions							
(a)	Bodies Corporate	217	19428397	19039547	20.25	20.25		
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	3521	12848431	12747661	13.39	13.39		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	132	13711671	13499571	14.29	14.29		
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)							
(d-i)	NRIs	7	28900	28900	0.03	0.03		
(d-ii)	Trusts	1	27500	27500				
(d-iii)	HUFs	105	4313421	4313421				
	Sub-Total (B)(2)	3983	50358320	49656600	52.49	52.49		
(B)	Total Public Shareholding (B)=	3984	50358321	49656601	52.49	52.49		
	TOTAL (A)+(B)	3991	95940000	95238280	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0.00	0.00	0	0.00
2	Public	0	0	0	0.00	0.00	0	0.00
	Sub-Total (C)	0	0	0	0.00	0.00	0	0.00
	GRAND TOTAL (A)+(B)+(C)	3991	95940000	95238280	100.00	100.00	30476851	31.77

11. Dematerialization of shares and liquidity: The shares of the Company are under compulsory demat trading. The Company has made necessary arrangements with NSDL and CDSL for demat facility. 99.27% of the Company's shares are dematerialized up to 31st March 2015.

12. Address for Correspondence:

The Director,
10, Maitri, N.S. Road No. 10
Nutan Laxmi Society, JVPD Scheme
Vile Parle (W) Mumbai – 400049

Disclosure under clause 43 A (2) of the listing agreement

The Equity Shares of the Company are listed on The Bombay Stock Exchange (BSE). Listing fees for the year 2014 – 15 of The Bombay Stock Exchange, Mumbai have not been paid.

Details of the Directors Seeking Re-Appointment At The Forthcoming Annual General Meeting (In Pursuance Of Clause 49 Of The Listing Agreement)

Name of Director: Mr. Mahendra S Pandey

Date of Birth: 01/01/1958

Date of Appointment: 26/07/2010

Occupation: Business

Expertise in Specific functional Areas: General Management, Strategy & Finance

Directorship in other companies: NIL

Committee positions held in other companies: NIL

*AUDITORS CERTIFICATE ON THE COMPLIANCE OF CONDITIONS OF
CEO/CFO CERTIFICATION TO THE BOARD*
(Under Clause 49(V) of Listing Agreement)

- I. We have reviewed the financial statements and the cash flow statement for the year ended on 31st March 2014 and that to the best of our knowledge and belief:
 - a. These statements do not contain any materially untrue statement or omit any material fact or contain statements that might be misleading.
 - b. These statements together present a true and fair view of the Company's affairs and are in compliance with existing accounting standards, applicable laws and regulations.
- II. There are, to the best of our knowledge and belief, no transactions entered into by the Company during the year that are fraudulent, illegal or violative of the Company's Code of Conduct.
- III. We accept responsibility for establishing and maintaining internal controls for financial reporting and that we have evaluated the effectiveness of internal control systems of the Company pertaining to financial reporting and we have disclosed to the auditors and the audit committee, deficiencies in the design or operation of such internal controls, if any, of which we are aware and that the steps we have taken or propose to take to rectify these deficiencies.
- IV. We have indicated to the auditors and the audit committee all:
 - a. Significant changes in internal control over financial reporting during the year;
 - b. Significant changes in accounting policies during the year and that the same have been disclosed in the notes to financial statements; and
 - c. Instances of significant fraud of which we have become aware and the involvement therein, if any, of the management or an employee having a significant role in the Company's internal control system over financial reporting.

Sd/-
Mahendra Pandey
Chairman & Managing Director

Sd/-
Mahendra Pandey
Director (Finance) & CFO

Place: Mumbai
Dated: 30th August 2015

Auditors Certificate

*Auditors Certificate on the Compliance Of Conditions Of Corporate
Governance Under Clause 49 Of The Listing Agreement*

To
The Members of Alka Securities Limited

We have examined the compliance of conditions of Corporate Governance by Alka Securities Limited, for the year ended on 31st March 2015, as stipulated in clause 49 of the Listing Agreement of the said company with Stock Exchange.

The compliance of conditions of Corporate Governance is the responsibility of the Management. Our examination was limited to procedures and implementations thereof, adopted by the Company for ensuring the compliance of the conditions of Corporate Governance. It is neither an audit nor an expression of opinion on the financial statements of the Company.

In our opinion and to the best of our information and according to the explanations given to us, we certify that the Company has complied with the conditions of Corporate Governance as stipulated in the above-mentioned Listing Agreement.

As required by the Guidance Note issued by the Institute of Chartered Accountants of India, we have to state that, no investor grievance is pending for a period exceeding one month against the Company as per the information furnished by the Company's Registrars, other than those which are a subject matter of litigation.

We further state that such compliance is neither an assurance as to the future viability of the Company nor the efficiency or effectiveness with which the management has conducted the affairs of the Company.

For PSV Jain & Associate
Chartered Accountants

Partner

Date: 30th August 2015
Place: Mumbai

Auditors' Report

TO

The Members of Alka Securities Limited

We have audited the attached Balance Sheet of M/s. Alka Securities Limited as on 31st March 2015 and also the Profit and Loss Account for the year ended on that date. These financial statements are the responsibility of the company's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with Auditing Standards Generally Accepted in India. These Standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

As required by the Companies (Auditors Report) Order, 2003 issued by the Central Government of India in terms of sub-section (4A) of section 227 of the Companies Act, 1956, we enclose in the Annexure a statement on the matters specified in paragraphs 4 and 5 of the said Order.

Further to our comments in the Annexure referred to above, we report that:

- (1) We have obtained all the information and explanations, which, to the best of our knowledge and belief, were necessary for the purpose of our audit.
- (2) In our opinion the Company, as required by law, has kept proper books of account, so far as it appears from our examination of such books.
- (3) The Balance Sheet and Profit and Loss Account dealt with by this report are in agreement with the books of account.
- (4) In our opinion, the Balance Sheet and Profit and Loss Account dealt with by this report comply with the Accounting standards referred to in sub-section (3C) of section 211 of the Companies Act, 1956;
- (5) On the basis of written representation received from the directors, as on 31st March 2015 and taken on record by the Board of Directors, we do hereby certify that none of the directors of the company as on 31st March 2015 is disqualified for appointment as director in the aforesaid company in terms of clause (g) of sub – section 274 of the Companies Act, 1956 on the said date.
- (6) In our opinion and to the best of our information and according to the explanations given to us, the said accounts read with the significant accounting policies and notes on Accounts annexed thereto, give the information required by the Companies Act, 1956, in the manner so required and gives a true and fair view in conformity with the accounting principles generally accepted in India:
 - a) in the case of the Balance Sheet, of the state of affairs as at 31st March, 2015, and
 - b) In the case of the Profit and Loss Account of the *loss* for the year ended on that date.
 - c) In the case of Cash Flow Statement, of the cash flows of the Company for the year ended on that date

Alka Securities Limited
(CIN: L65910MH1994PLC165204)
Annual Report 2014-2015

For PSV Jain & Associate
Chartered Accountants

Partner
ICAI Membership No: 137264
Place: Mumbai
Date: 30thAugust, 2015

Annexure to The Auditors' Report

(Referred to in paragraph 3 of our report of even date)

1. (a) The company has maintained proper records showing full particulars including quantitative details and situation of fixed assets.
(b) All the assets have not been physically verified by the management during the year but there is a regular programmer of verification which, in our opinion, is reasonable having regard to the size of the company and the nature of its assets. No material discrepancies were noticed on such verification.
(c) During the year, the company has not disposed of a substantial part of the fixed assets.

2. (a) The inventory has been physically verified during the year by the management. In our opinion, the frequency of verification is reasonable.
(b) The procedures of physical verification of inventories followed by the management are reasonable and adequate in relation to the size of the company and the nature of its business.
(c) The company is maintaining proper records of inventory. The discrepancies noticed on verification between the physical stocks and the book records were not material.

3. (a) The company has not granted any loan to Companies, firms and other parties listed in the register maintained under section 301 of the Companies Act, 1956.
(b) The company has not taken any loan to Companies, firms and other parties listed in the register maintained under section 301 of the Companies Act, 1956.

4. In our opinion and according to the information and explanations given to us, there are adequate internal control procedures commensurate with the size of the company and the nature of its business with regard to purchases of Goods and services and Fixed Assets for to the sale of goods and services. During the course of our audit, we have not observed any continuing failure to correct major weaknesses in internal controls.

5. (a) According to the information and explanations given to us, we are of the opinion that the particulars of contracts or arrangements referred to in section 301 of the Act have been entered into the register required to be maintained under that section; and
(b) In our opinion and according to the information and explanations given to us, the transactions made in pursuance of contracts or arrangements entered in the register maintained under section 301 of the Act have been made at prices which are reasonable having regard to prevailing market prices at the relevant time;
6. The company has not accepted any deposits from public.
7. In our opinion, the company has an internal Audit system commensurate with its size and nature of business.
8. We have broadly reviewed the books of account and other relevant records and are of the opinion that the Central Government has not prescribed any rules for the maintenance of cost records under section 209 (1) (d) of the Companies Act, 1956.
9. (a) The company is generally regular in depositing with appropriate authorities undisputed statutory dues including provident fund, investor education protection fund, employees' state insurance, income tax, sales tax, wealth tax, service tax, custom duty, excise duty, cess and other material statutory dues applicable to it.
(b) According Non-Executive Independent to the information and explanations given to us, no undisputed amounts payable in respect of income tax, sales tax, Excise duty and cess were in arrears, as at 31st March, 2014 for a period of more than six months from the date they became payable.
(c) According to the information and explanation given to us, there are no dues of sale tax, customs duty, wealth tax, excise duty and cess which have not been deposited on account of any dispute. There is a due of Rs.52.25 Lacs in respect of Income Tax for the Assessment Year 2005-6 to 2010-11 which has not been deposited on account of dispute; the dispute is pending before The Income Tax Department Company had made provision for full amount in the books.
(d) In our opinion, the company has losses amounting to Rs.1352.50 lakhs at the end of the financial year covered by our audit. The company has not incurred cash loss during the financial year covered by our audit and in the immediately preceding financial year.

Alka Securities Limited
(CIN: L65910MH1994PLC165204)
Annual Report 2014-2015

11. We are of the opinion that the company has maintained adequate records where the company has granted loans and advances on the basis of security by way of pledge of shares, debentures and other securities.
12. In our opinion, the company is not a chit fund or a nidhi/ mutual benefit fund/ society. Therefore, the provisions of clause 4(xiii) of the Companies (Auditor's Report) Order, 2003 are not applicable to the company.
13. In our opinion, the company is not dealing in or trading in shares, securities, debentures and other investments. Accordingly, the provisions of clause 4(xiv) of the Companies (Auditor's Report) Order, 2003 are not applicable to the company.
14. The company has not given guarantees for loans taken by others from banks or financial institutions.
15. The company has not raised any term loans during the year under consideration.
16. According to the information and explanations given to us and on an overall examination of the Balance Sheet of the company, we report that the no funds raised on short-term basis have been used for long-term investment.
17. According to the information and explanations given to us, the company has made preferential allotment of shares warrants to parties and companies covered in the register maintained under section 301 of the Act.
18. According to the information and explanations given to us, during the period covered by our audit report, the company had not issued debentures.
19. Company has received no funds on basis of public issue.
20. According to the information and explanations given to us, no fraud on or by the company has been noticed or reported during the course of our audit.

For PSV Jain & Associate
Chartered Accountants

Partner
ICAI Membership No: 137264
Place: Mumbai
Date: 30th August 2015

ALKA SECURITIES LIMITED
Balance Sheet as at 31 March, 2015

Particulars		Note No.	31st March, 2015	31st March, 2014
			Rs	Rs
I. EQUITY AND LIABILITIES				
1 Shareholders' Funds				
(a)	Share capital	1	95,940,000	95,940,000
(b)	Reserves and surplus	2	(117,521,110)	(111,635,391)
			(21,581,110)	(15,695,391)
3 Non-current liabilities				
(a)	Long-term borrowings (from directors)	3	45,137,025	39,737,025
(b)	Deferred tax liabilities (Net) (Refer Point 9 of Other Notes 27B)		794,635	794,635
(c)	Other Long term liabilities		385,125	-
(d)	Long-term provisions			
4 Current liabilities				
(a)	Short-term borrowings	4	3,500,000	3,500,000
(b)	Trade payables		4,048,650	3,903,931
(c)	Other current liabilities	5	17,135,418	17,405,544
(d)	Short-term provisions	6	125,000	125,000
TOTAL			49,544,743	49,770,744
II. ASSETS				
1 Non-current assets				
(a)	Fixed assets			
(i)	Tangible assets	7	505,709	505,709
(ii)	Intangible assets		-	-
(iii)	Capital work-in-progress		-	-
(iv)	Intangible assets under development			
(b)	Non-current investments		-	-
(c)	Deferred tax assets (net)		-	-
(d)	Long-term loans and advances		-	-
(e)	Other non-current assets			
2 Current assets				
(a)	Currents Investments	9	19,452,000	19,452,000
(b)	Trade receivables	10	17,566,407	17,766,407
(c)	Cash and cash equivalents	11	20,628	46,628
(d)	Short-term loans and advances	12	12,000,000	12,000,000
(e)	Other current assets	13	-	-
TOTAL			49,544,744	49,770,744
Significant Accounting Policies and other Notes				
<p>Accompanying Notes form an integral part of financial statements As per our attached Report of even date</p>				
<p>For PSVJAIN & ASSOCIATES Chartered Accountants</p>			<p>For and on behalf of Board of Directors ALKA SECURITIES LTD</p>	
<p>Dularesh Kumar Jain Partner Mem No 137264 Date : 30/08/2015 Place: Mumbai</p>			<p>Director Director Date : 30/08/2015 Place: Mumbai</p>	

ALKA SECURITIES LIMITED
Statement of Profit & Loss account for the year ended 31st March, 2015

Particulars		Refer Note No.	31st March, 2015	31st March, 2014
			Rs	Rs
I.	Revenue from operations		-	-
	Loss on Sale of Investment		-	(29,702,113)
II.	Other income		-	(5,928,000)
III.	Total Revenue (I + II)		-	(35,630,113)
IV.	Expenses:			
	SEBI Penalty		-	1,000,000
	Loss on Sale of Fixed Assets		-	2,290,633
	Employee benefits expense		180,000	75,000
	Finance costs		5,400,000	5,045,590
	Depreciation and amortization expense		-	180,217
	Other expenses		46,000	3,700
	Listing & Depository Exp		259,719	155,041
	Total expenses		5,885,719	8,750,181
V.	Profit before exceptional and extraordinary items and tax (III-IV)		(5,885,719)	(44,380,294)
VI.	Exceptional items - Foreign Exchange Difference			-
VII.	Profit before extraordinary items and tax (V - VI)		(5,885,719)	(44,380,294)
VIII.	Extraordinary Items		-	90,870,019
IX.	provision for bad debts			
	Profit before tax (VII- VIII)		(5,885,719)	(135,250,313)
X	Tax expense:			
	(1) Current tax		-	-
	(2) Deferred tax		-	-
	(3) Tax in respect of earlier years			
XI	Profit (Loss) for the period from continuing operations (IX-X)		(5,885,719)	(135,250,313)
XII	Profit/(loss) from Discontinuing operations		-	-
XIII	Profit from Discontinuing operations (after tax)		-	-
XIV	Profit for the year (XI+XIII)		(5,885,719)	(135,250,313)
XV				
	Significant Accounting Policies and other Notes	4		

Accompanying Notes form an integral part of financial statements
As per our attached Report of even date

For PSVJAIN & ASSOCIATES
Chartered Accountants

For and on behalf of Board of Directors
ALKA SECURITIES LTD

Dularesh Kumar Jain
Mem No 137264
Partner
Date : 30/08/2015
Place: Mumbai

Director Director
Date : 30/08/2015
Place: Mumbai

ALKA SECURITIES LIMITED**Notes Forming Part of the Financial Statements As At and For the Year Ended 31st March, 2015****Note 1 - Shareholder's Funds - Share Capital**

Share Capital	As at 31 March, 2015		As at 31 March, 2014	
	Number	Rs.	Number	Rs.
Authorised Equity Shares of Rs. 1 each	100,000,000	100,000,000	100,000,000	100,000,000
Issued,Subscribed & Paid up Equity Shares of Rs. 1 each	95,940,000	95,940,000	95,940,000	95,940,000
Total	95,940,000	95,940,000	95,940,000	95,940,000

Rights of Equity Shareholders

The Company has only one class of Equity Shares having par value of Rs.1. Each holder of equity shares is entitled to one vote per share. In the event of liquidation of the Company, the holder of equity shares will be entitled to receive any of the remaining assets of the company, after distribution of all preferential amount including in respect of preference share issue.

Disclosure pursuant to note no.6 (A) (d) of the part of schedule VI of the companies act 1956 .

Particulars	Equity Shares		Preference Shares	
	Number	Rs.	Number	Rs.
Shares outstanding at the beginning of the year	95,940,000	95,940,000	95,940,000	95,940,000
Shares Issued during the year	-	-	-	-
Shares bought back during the year	-	-	-	-
Shares outstanding at the end of the year	95,940,000	95,940,000	95,940,000	95,940,000

Shareholding Pattern more than 5%: Discloser pursuant to note no.6 (A)(g) of part Schedule VI to the

Name of Shareholder	As at 31 March 2015		As at 31 March 2014	
	No. of Shares	% of Holding	No. of Shares held	% of Holding
Alka Pandey	42,947,741	44.77	42,947,741	44.77
Total	42,947,741	44.77	42,947,741	44.77

Disclosure pursuant to note no.6 (A) (l) of the part of schedule VI of the companies act 1956 .

Particulars of Shares	2015		2014	
	Number	Discription	Number	Discription
Equity Shares	95,940,000	Parripasus	95,940,000	Parripasus
Restrictions on the distribution of dividend (All Equity Shares have equal voting rights)	-	None	-	None
Preference Shares (No preference share issue)				
Shares outstanding at the end of the year	95,940,000		95,940,000	

Note 2 - Shareholder's Funds - Reserves & Surplus

Reserves & Surplus	31st March, 2015	31st March,
	Rs.	Rs.
Capital reserve		
Opening balance	2,639,800.00	2,639,800.00
(+) Share forfeiture Reserve	1,015,000.00	1,015,000.00
	3,654,800.00	3,654,800.00
General reserve		
Opening balance	(111,635,391)	19,960,122
(-) (Net Loss) For the current year	(5,885,719)	(135,250,313)
Closing Balance	(117,521,110)	(111,635,391)
Total	(117,521,110)	(111,635,391)

Note 3 -Non-Current Liabilites: Long Term Borrowing

Particulars	31st March, 2015	31st March,
	Rs.	Rs.
(a) Secured Loan from bank against the property of Directors	45,137,025	39,737,025
	45,137,025	39,737,025

Note 4 - Current Liabilites: Short Term Borrowing

Particulars	31st March, 2015	31st March,
	Rs.	Rs.
Un -Secured		
(a) Loan Payable on demand M/s Alka Commodities Ltd	3,500,000	3,500,000
	3,500,000	3,500,000

Note 5 - Current liabilities - Other Current Liabilities

Particulars	31st March, 2015	31st March,
	Rs.	Rs.
Income Tax Liabilities (2005-2006)	76,548	76,548
Income Tax Liabilities (2006-2007)	128,061	128,061
Income Tax Liabilities (2008-2009)	2,080,109	2,080,109
Income Tax Liabilities (2009-2010)	1,175,600	1,175,600
Income Tax Liabilities (2010-2011)	661,900	661,900
Purva Share Registry Pvt. Ltd.	267,736	152,736
Provision for SEBI Fees	614,874	1,000,000
Provision for NSE Arbitration Awards	10,847,264	12,000,000
Others	1,283,326	1,283,326
	17,135,418	18,558,280

Note 6 - Current liabilities - Short Term Provision

Particulars	31st March, 2015	31st March, 2014
	Rs.	Rs.
Provision for Employee Benefits		
Salary Payable	120,000	120,000
Provision for interest	-	-
Provision for taxation	-	-
Provision for audit fee	5,000	5,000
	125,000	125,000

Note 9 Current Assets: Current investments

Particulars	31st March, 2015	31st March, 2014
	Rs.	Rs.
Investment in Unquoted Shares		
(a)Alka commodities ltd	4,500,000	4,500,000
(b)Adept agrotech pvt ltd	60,000	60,000
©Arcunam Solutation Pvt ltd	45,000	45,000
(d)India Denim Ltd	6,050,000	6,050,000
(e)Infracare System Pvt Ltd	2,500,000	2,500,000
(f)Om somEn Fab Pvt Ltd	1,000,000	1,000,000
(g)Skylark Pvt Ltd	45,000	45,000
(h) Veena Pani Investment Pvt Ltd	5,200,000	5,200,000
(i) yashu investrade Pvt Ltd	45,000	45,000
(j) JTD Entertainment Media Pvt Ltd	7,000	7,000
	19,452,000	19,452,000

Note 10 Current Assets: Trade receivables

Particulars	31st March, 2015	31st March, 2014
	Rs.	Rs.
Trade receivable outstanding for more than six months		
the date they are due for payments		
JMDE Packaging & Realities Limited	17,766,407	13,250,000
Gross receivable	95,386,426	
Less: provision for Bad Debts	(90,870,019)	
	4,516,407	4,516,407
	(200,000)	
	17,566,407	17,766,407

Note 11: Current Assets: Cash and Cash Equivalents

Particulars	31st March, 2015	31st March, 2014
	Rs.	Rs.
Balance with banks		
Fixed Deposits	-	39,004
Cash in Hand	20,628	7,624
	20,628	46,628

Note 12: Current Assets: Short-Term Loans and Advances

Particulars	31st March, 2015	31st March, 2014
	Rs.	Rs.
Loans, Advances & Deposits		
Deposits with National Stock Exchange	1,200,000	12,000,000
	1,200,000	12,000,000

ALKA SECURITIES LIMITED

NOTE 4- STATEMENT OF SIGNIFICANT ACCOUNTING POLICIES AND PRACTICES : (annexed to and forming part of the Accounts for the year ended 31st March, 2015)

OVERVIEW : The company is engaged in trading of electrical products.

I Recognition of Income and Expenditure:

- (I) Revenues/Incomes and Costs/Expenditure are generally accounted on accrual basis, as they are earned or incurred.
- (ii) Sale of goods is recognized on transfer of significant risks and rewards of ownership. It is also accounted for as per the contract terms and conditions agreed.

II Use of Estimates:

The preparation of financial statements in conformity with generally accepted accounting principles requires estimates and assumptions to be made that affect the reported amounts of assets and liabilities on the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Differences between actual results and estimates are recognized in the period in which the results are known /materialised.

III Fixed Assets:

Fixed assets are stated at cost, less accumulated depreciation (other than Freehold land where no depreciation is provided). Items of fixed assets that have been retired from active use, held for disposal, are stated at the lower of their net book value and net realisable value and are disclosed separately in the financial statements. Any expected loss is recognised in the profit and loss account.

IV Method of Depreciation and Amortization:

- (i) Depreciation on Fixed Assets is provided on Written down value method. (WDV)
- (ii) Depreciation on additions to assets or on sale/discernment of assets, is calculated pro rata from the month of such addition or up to the month of such sale/discernment, as the case may be.

V Investments :

Investments are classified into Current and Long-term Investments. Current Investments are stated at lower of cost and fair value. Long-term Investments are stated at cost. A provision for diminution is made to recognise a decline, other than temporary, in the value of Long-term Investments.

VI Valuation of Inventories:

Inventories of Raw Materials, Goods-in-Process, Finished Goods, Merchanting Goods are stated at 'cost' or 'net realisable value' whichever is lower. Goods-in-transit are stated 'at cost'. Cost comprises all cost of purchase, cost of conversion and other costs incurred in bringing the inventories to their present location and condition. The excise duty in respect of closing inventory of finished goods is included as part of finished goods. Cost formulae used are 'Weighted Average Cost'. Due allowance is estimated and made for defective and obsolete items,

VII Foreign Currency translations:

- i) All transactions in foreign currency, are expressed in the Indian currency at the appropriate rates of exchange prevailing on the date of Balance Sheet. In respect of transactions covered by Forward Exchange Contracts, the difference between forward rate and exchange rate at the inception of the contract is recognised as income or expense over the life of the contract.
 - ii) Balances in the form of Current Assets and Current Liabilities in foreign currency, outstanding at the close of the year, are converted in Indian Currency at the appropriate rates of exchange prevailing on the date of the Balance Sheet. Resultant gain or loss is accounted during the year;
 - iii) Transactions covered by cross currency swap contracts to be settled on future dates are recognised at the rates of exchange of the underlying foreign currency prevailing on the date of the Balance Sheet. Effects arising out of swap contracts are accounted/ adjusted on the date of settlement;
- All the revenue was received in foreign currency.

NOTE 4- STATEMENT OF SIGNIFICANT ACCOUNTING POLICIES AND PRACTICES :
(annexed to and forming part of the Accounts for the year ended 31st March, 2015)

VIII Employee Benefits :

Short Term Employee Benefits

All employee benefits payable within twelve months of rendering the service are recognised in the period in which the employee renders the related service.

Post Employment / Retirement Benefits

Defined Contribution Plans such as Provident Fund etc., are charged to the Profit and Loss Account as incurred.

Defined Benefit Plans – The present value of the obligation under such plans is determined based on an actuarial valuation, using the Projected Unit Credit Method. Actuarial gains and losses arising on such valuation are

Other Long Term Employee Benefits are recognised in the same manner as Defined Benefit Plans.

Termination benefits

Termination benefits are recognised as and when incurred.

X Borrowing Costs:

Interest and other borrowing costs attributable to qualifying assets are capitalized. Other interest and borrowing

XI Government Grants :

Grants received against specific fixed assets are adjusted to the cost of assets. Revenue grants are recognised in the Profit and Loss Account in accordance with the related scheme and in the period in which these are accrued.

XII Taxation :

Income-tax expense comprises current tax and deferred tax charge or credit. Provision for current tax is made on the basis of the assessable income at the tax rate applicable to the relevant assessment year. The deferred tax asset and deferred tax liability is calculated by applying tax rate and tax laws that have been enacted or substantively enacted by the Balance Sheet date. Deferred tax assets arising mainly on account of brought forward losses and unabsorbed depreciation under tax laws, are recognised, only if there is a virtual certainty of its realisation, supported by convincing evidence. Deferred tax assets on account of other timing differences are

XIII Impairment of Assets:

The carrying amounts of assets are reviewed at each Balance Sheet date if there is any indication of impairment based on internal/external factors. An asset is impaired when the carrying amount of the asset exceeds the recoverable amount. An impairment loss is charged to the Profit and Loss Account in the year in which an asset is identified as impaired. An impairment loss recognised in prior accounting periods is reversed if there has been

XIV Provisions, Contingent Liabilities and Contingent Assets:

A provision is made based on reliable estimate when it is possible that an outflow of resources embodying economic benefit will be required to settle an obligation, Contingent Liabilities, unless the possibility of outflow of resources embodying economic benefit is remote, are disclosed by way of notes to accounts. Contingent Assets

Signatures to Notes 1 to 4, which form an integral part of the financial statements.

For and on behalf of Board of Directors

For and on behalf of Board of Directors

ALKA SECURITIES LIMITED

Director

Director

Date : 30/08/2015

Place: Mumbai

Alka Securities Limited
(CIN: L65910MH1994PLC165204)
Annual Report 2014-2015

ALKA SECURITIES LIMITED
10, Maitri, Basement, Ns. Rd. No. 10, JVPD Scheme, Juhu, Vile Parle West, Mumbai 400 049

ATTENDANCE SLIP

DP ID.	
CLIENT ID	

FOLIO NO.	
NO. OF SHARES	

Name & Address of Shareholder / Proxy holder

I certify that I am a registered Shareholder / Proxy for the registered Shareholder of the Company. I hereby record my presence at the Annual General Meeting of the Company held on 30th September, 2015 at the Registered Office of the Company at 10, Maitri, Basement, Ns. Rd. No. 10, JVPD Scheme, Juhu, Vile Parle West, Mumbai 400 049

Member's / Proxy's
Signature

(Shareholder attending the meeting in person or by proxy is requested to complete the attendance slip and handover at the entrance of the Meeting Hall)

ALKA SECURITIES LIMITED
10, Maitri, Basement, Ns. Rd. No. 10, JVPD Scheme, Juhu, Vile Parle West, Mumbai 400 049

PROXY FORM

DP ID.	
CLIENT ID	

FOLIO NO.	
NO. OF SHARES	

I / We _____ of _____

Being a Member / Members of Alka Securities Limited hereby appoint Mr. / Ms.

_____ as my / our Proxy to attend and vote for me / us and on my / our behalf at the ANNUAL GENERAL MEETING of the Company to be held on 30th September, 2015 and at any adjournment(s) thereof.

Signed this _____ day of _____ 2015

Affix
Re.1/-
Revenue
Stamp

Signature(s)

Proxy form must reach company's registered office not later than 48 hours before the commencement of the meeting

FOR OFFICE USE ONLY
DATE OF RECEIPT